

Consent Form for Provision of Information Related to U.S. Tax Laws

Date	20 年 月 日								
Name									
Branch Name Savings account number (Yen Savings account number or PRESTIA Multimoney account number)	Branch name								
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; height: 20px;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> </tr> </table>								

At the request of the U.S. and Japanese governments, SMBC Trust Bank may provide for taxation purposes information to the U.S. tax authority, IRS*, about customers qualifying as U.S. Persons (i.e. U.S. citizens, U.S. residents, or Green Card Holders) under the Foreign Account Tax Compliance Act (FATCA), or determined by SMBC Trust Bank to be possible U.S. Persons. The information may include customer name, address, U.S. taxpayer ID number, account numbers, account balances, amount of income generated by the accounts, and other information as specified by the U.S. tax authority.


*Please see the reverse side about measures/system of the personal data protection by the IRS and US.

I consent to the provision of the information above.

Registered Seal or Registered Signature (must be included)

----- 銀行使用欄 -----

BRTR	受付日/受付者 / /	承認日/承認者 / /
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<Provision of Information on the measures for the protection of personal data taken by the IRS and the personal data protection system of the United States >

Information on the measures for the protection of personal data taken by the IRS

The IRS (Internal Revenue Service of the United States) has taken measures to comply with all eight principles under the privacy guidelines published by the Organisation for Economic Co-operation and Development.

Information on the personal data protection system established in foreign countries which is obtained through appropriate and reasonable means

- Whether a personal data protection system has been established:

While no comprehensive law is established, there are laws applicable to the public sector: the Electronic Communications Privacy Act (“ECPA”) and the Health Insurance Portability and Accountability Act (“HIPAA”).

- Information that can be indicators for the level of the personal data protection system:

- (1) EU has not made an adequacy decision.
- (2) The country participates in the APEC CBPR from July 25, 2012.

- Obligations to comply with the eight principles under the OECD Privacy Guidelines or the rights of individuals:

- (1) The Collection Limitation Principle is partially set out in HIPAA.
- (2) There are no provisions equivalent to the Data Quality Principle.
- (3) There are no provisions equivalent to the Purpose Specification Principle.
- (4) The Use Limitation Principle is partially set out in the ECPA and HIPAA.
- (5) The Security Safeguards Principle is partially set out in the HIPAA.
- (6) There are no provisions equivalent to the Openness Principle.
- (7) The Individual Participation Principle is partially set out in HIPAA.
- (8) There are no provisions equivalent to the Accountability Principle.

- There are no other systems that may have a significant impact on the rights and interests of individuals.

For details, see the website of the Personal Information Protection Commission Japan (<https://www.ppc.go.jp/en/index.html>).