

# Notification of Individual/Corporate Number



SMBC TRUST BANK

Please also submit the identification documents listed on the reverse side of this form.

- Please fill in the information within the bold lines.
- Please note that you cannot use items like correction fluid and correction tape, or erasable ball-point pens.
- In case you need to correct any information, please cross it out with double lines along with your name (handwritten in block letters) or company name.

Date

YYYY / MM / DD  
20 / /

NAME or Company Name and Name of Representative/Title (Company seal is acceptable)		Handwritten in block letters									
Branch Name		Account Number (Please align to the left.)						Date of Birth (Only for Individual Customer)			
								(Year) (Month) (Day)			
Registered Residence Address		〒 -									

■ In case of Corporate Customer, please provide your Address of Head office.

■ Please note that the submission of this form will not change your registered address.

## <Provision of Individual/Corporate Number>

The Individual Number/ Corporate Number that I /we provide is as shown on the attached Individual Number Card, Corporate Number Notification, etc. For individual customers, **please check one of the boxes to indicate your choice regarding the notification of My Number to other banks.**

※ If there is no check, **we assume that you do not request the notification of your My Number to other banks.**

- ☐ I ask for My Number notification to other banks. (Please submit the "Application Form" at a branch or complete the procedure via Mynaportal)
- ☐ I do not ask for My Number notification to other banks.

### [Individual Customer]

- I am providing my Individual Number in response to SMBC Trust Bank's (hereinafter, the Bank) request based on Article 14 of the Act on the Use of Numbers to Identify a Specific Individual in the Administrative Procedures and for the purpose of preparing statutory reports related to financial product transactions to be submitted to the Tax Office, preparing of numbering of bank account, and administrative work related to the purposes of use of Individual Number specified by the Bank in line with Amended Act on the Use of Numbers to Identify a Specific Individual in the Administrative Procedure (Act No. 65 of September 9, 2015).
- I consent to the use stated below, based on Article 3 of the Act on Management of Deposit and Saving Accounts by Use of Individual Numbers based on the Will of Depositors.
  - The Individual Number may be used to identify my account for the use based on the Income Tax Act, Public Assistance Act, Deposit Insurance Act, and other laws.
  - In case of disaster or inheritance, the Individual Number may be used to identify the account and enable the account holder or the inheritor(s) to obtain the relevant information on the account.

### [Corporate Customer]

- We hereby inform the Bank of the Corporate Number in accordance with the provisions of Article 224, Paragraph 1 of the Income Tax Act, Article 336 of the Order for Enforcement of the Income Tax Act, and Article 81-4 of the Ordinance for Enforcement of the Income Tax Act.

Please check the purpose to providing your Individual Number/ Corporate Number (multiple choices allowed).

If you provide your Individual Number/ Corporate Number, you will have also consented to the purposes stated above.

※ If no box is checked, your Individual Number/ Corporate Number is registered for the purpose stated above.

- ☐ Customer who has made or expects to make overseas fund transfers etc (incoming/outgoing wire transfers, check deposit etc.)  
I /We hereby provide my Individual Number or Corporate Number pursuant to the Article 2, Item 6 of the Act on Submission of Statement of Overseas Wire Transfers for Purpose of Securing Proper Domestic Taxation.

- ☐ Customer who has Mutual Fund Account

I /We hereby inform the Bank of my Individual Number or Corporate Number in accordance with the provisions of Article 224, Paragraph 1 of the Income Tax Act, and Article 336 of the Order for Enforcement of the Income Tax Act.

--- Bank Use Only ---

BRTR 11 桁 (店番号 3+ 取引先番号 8)		お名前 (自署) ・法人名確認	
受付支店名		受付担当者名	

以下、国外送金と同時受付時のみ記入

国外送金取組日	20 / /
整理番号	調書要否 <input type="checkbox"/> 要 <input type="checkbox"/> 否

	記帳	承認	入力日付
マイナンバー記載 51953			20 / /
調書補記 K0520 → K0530			20 / /

PB 別段口座番号 6 桁			

本人確認書類 【番号法等】 個人	番号確認書類	住所等確認書類
	<input type="checkbox"/> マイナンバーカード	
	<input type="checkbox"/> 通知カード	<input type="checkbox"/> 運 <input type="checkbox"/> 旅 <input type="checkbox"/> 保 <input type="checkbox"/> 在留 <input type="checkbox"/> その他 (※写真あり 1 点または写真なし 2 点)
本人確認書類 【番号法等】 法人	<input type="checkbox"/> 住民票 (マイナンバーあり)	<input type="checkbox"/> 運 <input type="checkbox"/> 旅 <input type="checkbox"/> 保 <input type="checkbox"/> 在留 <input type="checkbox"/> その他 (※いずれか 1 点)
	法人番号確認書類	法人確認書類 (法人番号通知書 (6 ヶ月以内) の場合は不要)
	<input type="checkbox"/> 法人番号通知書 (6 ヶ月以内) <input type="checkbox"/> 上記以外	<input type="checkbox"/> 登記事項証明書 <input type="checkbox"/> 印鑑証明書 <input type="checkbox"/> その他 ( )




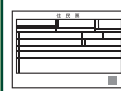

# Request to Notify Your Individual/Corporate Number

Please fill in the form on the reverse side of this request and submit with your Identification Documents.

## Identification Documents for Individual Customer

Please provide one of the identification documents listed below (A B C), showing your Individual Number (My Number) with full name and current address registered with us.

\*In case of B or C, additional documents will be required.

A Individual Number Card(both sides)	B Copy of residence certificate (with Individual Number (My Number))	C Notification Card for My Number (Notification Card)(both sides) <sup>(*)</sup>
 <b>At Branch</b> Original Document <b>By Mail</b> Photocopy of original <b>both sides</b>	 <b>At Branch</b> Original Document and must be issued within 6 months <b>By Mail</b> Photocopy of original	 <b>At Branch</b> Original Document <b>By Mail</b> Photocopy of original <b>both sides</b> <small>(*)If there is any change in the information (name, address, etc.) on the notification card after May 25, 2020, it cannot be used.</small>
Check the expiration date.	Please submit any one of the IDs listed below.	Please submit one photo ID or two non-photo IDs listed below.
<div><p><b>[Photo ID]</b></p><ul style="list-style-type: none"><li>●Driver's License <b>both sides</b></li><li>●Driving Record Certificate issued on April 1, 2012 or later <b>both sides</b></li><li>●Passport (the page showing the passport holder's photograph, and the page showing the holder's address) ※Japanese passports applied for on February 4, 2020 or after are not valid due to the abolition of Information on Bearer.</li><li>●Residence Card <b>both sides</b></li><li>●Special Permanent Resident Certificate <b>both sides</b></li></ul><p><b>At Branch</b> Original Document <b>By Mail</b> Photocopy of original ※"Certificate of seal registration" and "Certified copy of resident register or Certificate of item stated in resident register" must be originals.</p></div> <div><p><b>[Non-Photo ID]</b></p><ul style="list-style-type: none"><li>●Eligibility Verification Certificate (the page bearing your name, address and date of birth.)</li><li>●Pension book (Name, Date of Birth, Current Address required. Address can be handwritten on the original book.)</li><li>●Certificate of seal registration (Original Document and must be issued within 6 months)</li><li>●Certified copy of resident register or Certificate of item stated in resident register (Original Document and must be issued within 6 months)</li></ul></div>		





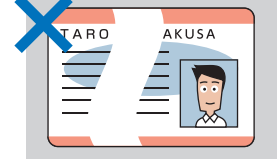
If you submit Individual Number Card, no additional document is required.

## Identification documents, etc. for non-resident customers

Please submit both of the following A and B with the same name and date of birth as our bank's record.

- A** Individual Number card which says "moving out of Japan" (国外転出)  
※Cards saying "returned due to moving out of the country" are not acceptable. (国外転出により返納済)
- B** An official certificate that shows the current overseas address, issued by an overseas public institution (including Japanese embassies and consulates) and valid for six months or less from the date of issue.

Please make a photocopy of your identification documents with enough space on all sides.

				
The document has been photographed or scanned so that the entire identification document is included.	Part of the identification document is not visible.	The identification document is too small.	The information on the identification document is unclear.	

## Documents for Corporate Customer

Please provide one of the documents below (A to C). (Confirmation Document for Corporate Customer)

A Corporate Number Notification <sup>(*)</sup> (Must be issued within 6 months) (*)If sending by mail, please send a copy.	B Corporate Number Notification other than the left	C Printout of the corporate information screen on the National Tax Agency's Corporate Number Publication Site (Corporation name, location of head office or principal office and Corporate Number required. Must be issued within 6 months.)
	Please submit any one of the document listed below.	Please submit any one of the document listed below.
<ul style="list-style-type: none"><li>●Certificate of registered matters (Original document and issued within 6 months)</li><li>●Certificate of a seal impression (Original document and issued within 6 months)</li></ul>		

## [Transactions that require Individual/Corporate Number]

### <Individual Customer>

- Mutual Fund\* Account opening, name/address change
  - Maruyu tax-exempt savings system
  - Overseas fund transfer (Incoming/Outgoing)
- \*Please use the Japanese Request Form if you have Mutual Fund Account

### <Corporate Customer>

- Mutual Fund\* Account opening, name/address change
- Deposit Account Account opening, name/address change
- Overseas fund transfer (Incoming/Outgoing)

For individual customers, we appreciate your cooperation in providing the "Notification of Individual Corporate Number" along with identification documents even if you are not making transactions that require My Number.